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| General information about company | |
|--|---------------------------------------|
| Scrip code | 501261 |
| NSE Symbol | |
| MSEI Symbol | |
| ISIN | INE567L01017 |
| Name of the company | RATOS ENERGY & INFRASTRUCTURE LIMITED |
| Whether company is SME | No |
| Class of Security | Equity Shares |
| Type of report | Quarterly |
| Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment) | 31-12-2023 |
| Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date | |
| Shareholding pattern filed under | Regulation 31 (1) (b) |
| Whether the listed entity is Public Sector Undertaking (PSU)? | No |

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| Sr. No. | Particular | Yes/No | Promoter and Promoter Group | Public shareholder | Non Promoter- Non Public |
|---------|--|--------|--------------------------------|--------------------|-----------------------------|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | No | No | No | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities? | No | No | No | No |
| 3 | Whether the Listed Entity has issued any Warrants? | No | No | No | No |
| 4 | Whether the Listed Entity has any shares against which depository receipts are issued? | No | No | No | No |
| 5 | Whether the Listed Entity has any shares in locked-in? | No | No | No | No |
| 6 | Whether any shares held by promoters are pledge or otherwise encumbered? | No | No | | |
| 7 | Whether company has equity shares with differential voting rights? | No | No | No | No |
| 8 | Whether the listed entity has any significant beneficial owner? | Yes | | | |

Table I - Summary Statement holding of specified securities

| Note : | Data will be automatically populated fro | m shareholding p | oattern sheet - Data Entry Re | estricted in this she | et | | | | | | | | | | | | | | | | | |
|----------|--|-----------------------|-------------------------------|---------------------------------|--------------------------|--------------------------------|--|-------------------|----------------------------|--------------------------------|----------------------------|--|--|---|---------------|---------------------------------------|------------|---------------------------------------|--|------------------|------------------------|--------------------|
| | | | | | | | | Nun | ber of Voting Rightsheld i | in each class ofsecurities(IX) | | | | Shareholding , as a % | Number of Loc | ked in shares | | f Shares pledged or ise encumbered | | Sub | -categorization of sh | nares |
| Categor | , | Nos. Of | No. of fully paid up equity | No. Of Partly paid- | No. Of shares underlying | Total nos. shares | Shareholding as a % of total no. of | | No of Voting (XIV) Righ | hts | | No. Of Shares Underlying | | assuming full conversion of convertible securities (| (X | II) | otherw | (XIII) | Number of equity | Shareh | nolding (No. of shares | s) under |
| y (I) | Category of shareholder (II) | shareholders (III) | shares held (IV) | up equity shares held (V) | Depository | held (VII) = (IV)+(V)+ (VI) | shares (calculated | Class eg: X | Class eg:y | Total | Total as a % of (A+B+C) | Outstanding convertible securities (X) | Underlying Outstanding Warrants (Xi) | as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | No. (a) | As a % of total Shares held (b) | No. (a) | As a % of total Shares held (b) | shares held in dematerialized form (XIV) | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| (A) | Promoter & Promoter Group | 1 | 1 490051 | | | 490051 | 49.01 | 4,90,051.00 | | 490051.00 | 49.01 | | | 49.01 | | | | | 490051 | | | |
| | Public | 76 | 5 509949 | , | | 509949 | 50.99 | 509949.00 | | 509949.00 | 50.99 | | | 50.99 | | | | | 168916 | 0 | 0 | 0 |
| | Non Promoter- Non Public | | | | | | | | | | | | | | | | | | | | | |
| (C1) | Shares underlying DRs | | | | | | | | | | | | | | | | | | | | | |
| (C2) | Shares held by Employee Trusts | | | | | | | | | | | | | | | | | | | | | |
| | Total | 77 | 7 1000000 |) | | 1000000 | 100 | 1000000.00 | | 1000000.00 | 100.00 | | | 100 | | | | | 658967 | 0 | 0 | 0 |

Home Validate Number of Voting Rights held in each class of securities(I) Sub-categorization of shares Number of Locked in shares (XII) No of Voting (XIV)Rights Shareholding (No. of shares) under Shareholding as a % of total no. of shares held (VII) = (IV)+(V)+(VI) (VII) (VIII) As a % of (A+8+C2) No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a) Total as a % of Total Voting rights No. of Shares inderlying Outstandir Warrants (Xi) Number of equity shares held in dematerialized form (XIV) No. of fully paid up equity shares held (IV) (V) Class eg:y Total Sub-category (ii) Sub-category (i) Sub-category (iii individuals/Hindu undivided Family
Central Government/ State Government(s)
Financial Institutions/ Banks Sub-Total (A)(1)
(2) Foreign Total Shareholding of Promoter and Promoter Group

(A)=(A)(1)+(A)(2)

Details of Shares which remain unclaimed for Promoter & Promoter Group Table III - Statement showing shareholding pattern of the Public shareholder of the Public share (c) Alternate Investment Funds
(d) Banks
(e) Insurance Companies (f) Provident Funds/ Pension Funds
(g) Asset reconstruction companies
(h) Sovereign Wealth Funds (i) NBFCs registered with RBI
(ii) Other Financial Institutions
(ik) Any Other (specify) Sub-Total (8)(2)
 Central Government / State Government(s)
 (a) Central Government / President of India
 (b) State Government / Course not
 (c) Share shelding by Companies or Rodies Corporate
 (c) Share shelding by Companies or Rodies Corporate Sub-Total (B)(3) Associate companies / Subsidiaries Directors and their relatives (excluding independent directors and nominee directors). Relatives of promoters (other than Immediate, relatives' of promoters disclosed under 'Promoter and Promoter Group' category)

Trusts where any person belonging to 'Promoter Resident Individuals holding nominal share capital up to Rs. 2 lakhs Resident Individuals holding nominal share capital in excess of Rs. 2 lakhs Non Resident Indians (NRIs) Foreign Nationals Foreign Companies Bodies Corporate 20.51 0.49 50.99 50.99 205091 4925 509949.00 Any Other (specify)

Sub-Total (B)(4) Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4) Details of the shareholders acting as persons in Concert for Public Details of Shares which remain unclaimed for Public C Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Canadian IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

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Canadian IV - Statement show the Statement show th 1000000 100.00 100000.00 1000000.00 1000000.00 1000000.00 100.00 1000000.00 Add Notes

form is less than 100 percentage Add Notes 1000000 100.00 Total (A+B+C2) 1000000 1000000 Total (A+B+C) 100.00 Disclosure of notes in case of public share holding is less than 25 percentage

Disclosure of notes on shareholding pattern for company remarks explanatory

| Sr. No. | Home | Validate | Details of the S | SBO | | | | Details of the registe | red owner | | Details of whether o | | exercise of right of the S ndirect*: | BO in the report | ing company, | Date of creation / acquisition of |
|---------|----------------|------------------|--|-------------|---|------|-----|--|-------------|---|----------------------|------------------|--|------------------|-----------------------------------|-----------------------------------|
| Sr. No. | Name | I DAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Name | PAN | Passport No. in case of a foreign national | Nationality | Nationality (Applicable in case of Any other is selected) | Shares | Voting rights | Whether by virtue Rights on distributable dividend or any other distribution | Exercise of | Exercise of significant influence | significant beneficial interest |
| | significant be | eneficial owners | | | | | | | | | | • | | | | |
| | Add | elete | | | | | | | | | | | | | | |

| arial No. | Category | Name of the Shareholders (I) | PAN (II) | No. of the Shareholders (I) | No. of fully paid up equity shares held (IV) | Total nos. shares held (VII) = (IV)+(V)+ (VI) | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Number of Vot No of Vot Rig Class eg: X | - | | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | Number of equity shares held in dematerialized form (XIV) | Reason for not providing PAN | Shareholder type |
|-----------|-----------------------|---------------------------------------|--------------|--------------------------------------|--|---|--|--|-----------|-------|--|--|---------------------------------|------------------|
| A1(d) | Any Other (specify) | | | | | | | | | | | | | |
| | Add Delete | | | | | | | | | | | | | |
| 1 | Bodies Corporate | EL DORADO GUARANTEE LTD | AAACE2894B | 1 | 490051 | 490051 | 49.01 | 490051.00 | 490051.00 | 49.01 | 49.01 | 490051 | | Promoter |
| | Click here to go back | | <u>Total</u> | 1 | 490051 | 490051 | 49.01 | 490051.00 | 490051.00 | 49.01 | 49.01 | 490051 | | |

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| | |

| | | | | | | Number of Vo | ting Rights held in each cla (IX) | ss of securities | Shareholding , as a % | | | 9 | Sub-categorization of share | es |
|----------------|---------------------------------|-------------------|----------------------------|--------------------------------|--|-------------------|--------------------------------------|-------------------------------------|--|---|---|------------------|-----------------------------|--------------------|
| Carriel | Name | DAN | No. of fully paid up | Total nos. shares | Shareholding as a % of total no. of shares | | ting (XIV) thts | Total as | assuming full conversion | Number of equity shares | Daniel de la constitución de la | | reholding (No. of shares) ι | ınder |
| Searial No. | of the Shareholders (I) | PAN (II) | equity shares held (IV) | held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | held in dematerialized form (XIV) | Reason for not providing PAN | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B4(I) | Bodies Corporate | | | | | | | | | | | | | |
| | Add Delete | Disclosure of sha | areholder holding more th | an 1% of total number of | shares | | | | | | | | | |
| 1 | E.L.DORADO SECURITIES PVT.LTD | AAACE2895A | 41000 | 41000 | 4.10 | 41000.00 | 41000.00 | 4.10 | 4.10 | 0 | | 0 | 0 | 0 |
| 2 | AMOHA TRADERS PVT LTD | AAACA4859Q | 46375 | 46375 | 4.64 | 46375.00 | 46375.00 | 4.64 | 4.64 | 46375 | | 0 | 0 | 0 |
| 3 | ALPIC BBK FINANCE LTD | AAACA3695C | 13415 | 13415 | 1.34 | 13415.00 | 13415.00 | 1.34 | 1.34 | 13415 | | 0 | 0 | 0 |
| 4 | ALLANZERS SECURITIES LIMITED | AADCA7698A | 20100 | 20100 | 2.01 | 20100.00 | 20100.00 | 2.01 | 2.01 | 20100 | | 0 | 0 | 0 |
| 5 | ARMA FINANCIAL SERVICES LIMITED | AAACA7464K | 38101 | 38101 | 3.81 | 38101.00 | 38101.00 | 3.81 | 3.81 | 38101 | | 0 | 0 | 0 |
| 6 | CANOS TRADING PVT LTD | AACCC7058B | 45650 | 45650 | 4.57 | 45650.00 | 45650.00 | 4.57 | 4.57 | 45650 | | 0 | 0 | 0 |
| | Click here to go back | <u>Total</u> | 204641 | 204641 | 20.46 | 204641.00 | 204641.00 | 20.46 | 20.46 | 163641 | | 0 | 0 | 0 |

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| | |

| | | | | | | Number of Vot | ing Rights held in each class (IX) | s of securities | Shareholding , as a % | | | | Sub-categorization of share | es |
|---------------|---|-------------------|----------------------------|--------------------------------|--|-------------------|---------------------------------------|-------------------------------------|--|-------------------------|---------------------------------|------------------|-----------------------------------|--------------------|
| Carata | Name of the | DAN | No. of fully paid up | Total nos. shares | Shareholding as a % of total no. of shares | No of Vot Rigl | • | | assuming full conversion | Number of equity shares | | Sha | nareholding (No. of shares) under | |
| Searia No. | of the Shareholders (I) | PAN (II) | equity shares held (IV) | held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | form (XIV) | Reason for not providing PAN | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B4(g | Individuals - i.Individual shareholders | holding nomin | al share capital up to Rs | . 2 lakhs. | | | · | | | | | | | |
| | Add Delete | Disclosure of sha | reholder holding more th | an 1% of total number of | shares | | | | | | | | | |
| 1 | NERGISH KEKI ANITA | AJEPA3592J | 17828 | 17828 | 1.78 | 17828.00 | 17828.00 | 1.78 | 1.78 | 0 | | 0 | 0 | 0 |
| 2 | SANTONU CHOUDHARY | AAGPC4935N | 13000 | 13000 | 1.30 | 13000.00 | 13000.00 | 1.30 | 1.30 | 0 | | 0 | 0 | 0 |
| 3 | MANECK DARA SUKHADWALLA | AGCPS0881R | 19500 | 19500 | 1.95 | 19500.00 | 19500.00 | 1.95 | 1.95 | 0 | | 0 | 0 | 0 |
| 4 | IRFAN AHMED KHAN | ADYPK9149D | 19351 | 19351 | 1.94 | 19351.00 | 19351.00 | 1.94 | 1.94 | 0 | | 0 | 0 | 0 |
| 5 | RAVINDRA OBEROI | AAFPO1050P | 20000 | 20000 | 2.00 | 20000.00 | 20000.00 | 2.00 | 2.00 | 0 | | 0 | 0 | 0 |
| | Click here to go back | <u>Total</u> | 89679 | 89679 | 8.97 | 89679.00 | 89679.00 | 8.97 | 8.97 | 0 | | 0 | 0 | 0 |

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| | | | | | | Number of Voti | ting Rights held in each class (IX) | s of securities | Shareholding , as a % | | | | Sub-categorization of share | res |
|-------------|---|-------------------|----------------------------|--------------------------------|--|--------------------|--|-------------------------------------|--|------------------------------|------------------------------|------------------|-------------------------------|--------------------|
| ' | Name | | No. of fully paid up | Total nos. shares | Shareholding as a % of total no. of shares | No of Voti Righ | | | assuming full conversion | n Number of equity shares | | | nareholding (No. of shares) ι | under |
| Searial No. | of the Shareholders (I) | PAN (II) | equity shares held (IV) | held (VII) = (IV)+(V)+ (VI) | (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2) | Class eg: X | Total | a % of Total Voting rights | (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | form | Reason for not providing PAN | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B4(h) | Individuals - ii. Individual shareholders | s holding nomir | nal share capital in exces | s of Rs. 2 lakhs. | | | | | | | | | | |
| | Add Delete | Disclosure of sha | areholder holding more tha | an 1% of total number of | shares | | | | | | | | | |
| 1 | UMESH SRICHAND NAGPAL | AADPN4976F | 26000 | 26000 | 2.60 | 26000.00 | 26000.00 | 2.60 | 0 2.60 | 0 (| υ l | 1 | 0 | 0 0 |
| 2 | IMTIYAZ HASSAN KHAN | ZZZZZ9999Z | 20150 | 20150 | 2.02 | 20150.00 | 20150.00 | 2.02 | 2 2.02 | 2 |) 1 | 1 | 0 | 0 0 |
| 3 | BOMI PIROJSH SACHINWALA | BCUPS2607E | 28000 | 28000 | 2.80 | 28000.00 | 28000.00 | 2.80 | 0 2.80 | 0 (|) | | 0 | 0 0 |
| 4 | SURESH RAMTRILOK SINGH | ZZZZZ9999Z | 2 27000 | 27000 | 2.70 | 27000.00 | 27000.00 | 2.70 | 0 2.70 | 0 (| J 2 | | 0 | 0 0 |
| 5 | BEHROZE MANECKSHAW TARAMASTER | AHFPT7638H | 22000 | 22000 | 2.20 | 22000.00 | 22000.00 | 2.20 | 0 2.20 | 0 (| J. | 1 | 0 | 0 0 |
| 6 | RUSTOM MANECKJI VAJIFDAR | AAAPV2708E | 45950 | 45950 | 4.60 | 45950.00 | 45950.00 | 4.60 | 0 4.60 | 0 (| J. | 1 | 0 | 0 0 |
| | Click here to go back | <u>Total</u> | 169100 | 169100 | 16.91 | 169100.00 | 169100.00 | 16.91 | 1 16.91 | Ţ | s | | 0 | 0 0 |

| | H | Validate | | | | | | | | | | | | | | | | |
|------|---------|--------------------|-----------------------------------|------------------------|-------------|-------------------------------|--|---------------------------|--|-------------------|-------------------------------------|---------------------------|---|-------------------------|------------------------------|------------------|-----------------------------|--------------------|
| | | | | Name | | No | | | Shareholding as a % of total no. of shares | Number of Vot | ing Rights held in each cla (IX) | ss of securities | Shareholding , as a % assuming full conversion | Number of equity shares | | | Sub-categorization of shar | res |
| Sear | | Category | Category / More than 1 percentage | of the Shareholders | PAN (II) | No. of the Shareholders | No. of fully paid up equity shares held | Total nos. shares held | (calculated as per SCRR, 1957) | No of Vot Rig | | Total as a % of | of convertible securities (as a percentage of | | Reason for not providing PAN | Sha | reholding (No. of shares) u | under |
| | J. | | | (I) | (11) | (I) | (IV) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | Class eg: X | Total | Total Voting rights | diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2) | (XIV) | ION | Sub-category (i) | Sub-category (ii) | Sub-category (iii) |
| B4(| (m) A | ny Other (specify) | | | | | | | | | | | | | | | | |
| | A | dd Delete | | | | | | | | | | | | | | | | |
| | 1 Clear | ing Members | Category | | | 2 | 4775 | 4775 | 0.48 | 4775 | 4775 | 0.48 | 0.48 | 4775 | 5 | 0 | O C | 0 |
| | 2 HUF | | Category | | | 1 | . 150 | 150 | 0.02 | 150 | 150 | 0.02 | 0.02 | 150 | | 0 | C | 0 |
| | | | | Click here to go back | Total | 3 | 4925 | 4925 | 0.50 | 4925.00 | 4925.00 | 0.50 | 0.50 | 4925 | 5 | 0 | C | |

| Table VI - Statement showing foreign ownership limits | | |
|---|---------------------|---------------------|
| Particular Particular | Approved limits (%) | Limits utilized (%) |
| As on shareholding date | 100.00 | 0.00 |
| As on the end of previous 1st quarter | 100.00 | 0.00 |
| As on the end of previous 2nd quarter | 100.00 | 0.00 |
| As on the end of previous 3rd quarter | 100.00 | 0.00 |
| As on the end of previous 4th quarter | 100.00 | 0.00 |

Notes :-

- 1) "Approved Limits (%)" means the limit approved by Board of Directors / shareholders of the Listed entity. In case the listed entity has no Board approved limit, provide details of sectoral / statutory cap prescribed by Government / Regulatory Authorities
- 2) Details of Foreign ownership includes foreign ownership / investments as specified in Rule 2(s) of the Foreign Exchange Management (Non debt Instruments) Rules, 2019, made under the Foreign Exchange Management Act, 1999.